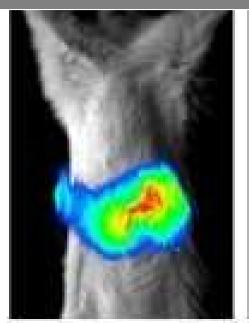


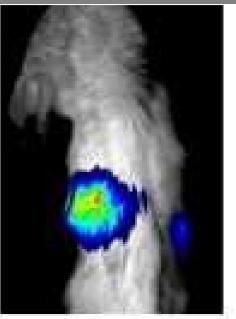
## Geometric Reconstruction in Bioluminescence Tomography (BLT)

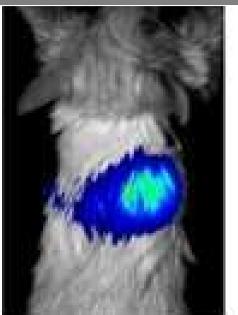
**Andreas Rieder** 

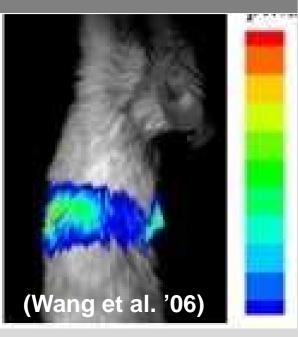
jointly with Tim Kreutzmann

#### FAKULTÄT FÜR MATHEMATIK – INSTITUT FÜR ANGEWANDTE UND NUMERISCHE MATHEMATIK









#### **Overview**



**Mathematical model** 

Inverse problem: formulation & uniqueness

Inverse problem: reformulation & stabilization

Gradient of the minimization functional

**Numerical experiments in 2D** 

Summary



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#### **Mathematical model**

## The (stationary) radiative transfer equation (RTE) (Boltzmann transport equation)



Let  $u(x, \theta)$  be the photon flux (radiance) in direction  $\theta \in S^2$  about  $x \in \Omega \subset \mathbb{R}^3$ . Then,

$$\theta \cdot \nabla u(x,\theta) + \mu(x)u(x,\theta) = \mu_{s}(x) \int \eta(\theta \cdot \theta')u(x,\theta')d\theta' + q(x,\theta)$$
$$u(x,\theta) = g^{-}(x,\theta), \quad x \in \partial\Omega, \quad \mathbf{n}(x) \cdot \theta \leq 0$$
$$g(x) = \frac{1}{4\pi} \int_{S^{2}} \mathbf{n}(x) \cdot \theta u(x,\theta)d\theta, \quad x \in \partial\Omega$$

where  $\mu=\mu_{\mathrm{s}}+\mu_{\mathrm{a}}$  and

 $\mu_{\rm s}$  /  $\mu_{\rm a}$  scattering/absorption coefficients

 $\eta$  scattering kernel  $(\int_{S^2} \eta(\theta \cdot \theta') d\theta' = 1)$ 

q source term

 $\mu_{\rm s}=0$ : RTE yields integral eqs. of transmission and emission tomography (F. Natterer & F. Wübbeling, Math. Methods in Image Reconstr., SIAM, '01)

#### Diffusion approximation: setting



Assume that

$$u(x,\theta) = u_0(x) + 3\theta \cdot u_1(x)$$

where

$$u_0(x) = \frac{1}{4\pi} \int_{S^2} u(x,\theta) d\theta \in \mathbb{R}$$
 and  $u_1(x) = \frac{1}{4\pi} \int_{S^2} \theta u(x,\theta) d\theta \in \mathbb{R}^3$ .

By the Funk-Hecke theorem,

$$\int_{S^2} \theta \eta(\theta \cdot \theta') d\theta = \overline{\eta} \theta'$$

where  $\overline{\eta} = \int\limits_{S^2} \theta' \cdot \theta \, \eta(\theta \cdot \theta') \mathrm{d}\theta$  is the scattering anisotropy.

#### Diffusion approximation: derivation



- Integrate RTE over  $S^2$ ,
- $\blacksquare$  multiply RTE by  $\theta$ , integrate again, and
- assume  $g^{-}(x,\theta) = g^{-}(x)$ .

Then,

$$-\nabla \cdot (\mathbf{D}\nabla u_0) + \mu_{\mathbf{a}} u_0 = q_0 := \frac{1}{4\pi} \int_{S^2} q(\cdot, \theta) d\theta,$$
$$u_0 + 2\mathbf{D}\partial_{\mathbf{n}} u_0 = g^- \text{ on } \partial\Omega,$$
$$\mathbf{D}\partial_{\mathbf{n}} u_0 = -g \text{ on } \partial\Omega,$$

where

$$D = \frac{1}{3(\mu - \overline{\eta}\mu_{\rm s})}$$

is the diffusion coefficient (reduced scattering coefficient).

#### Diffusion approximation: final equation



- Change of notation:  $u = u_0$ ,  $q = q_0$ ,  $\mu = \mu_a$ , and g = -g.
- The photon density *u* obeys the BVP

$$-\nabla \cdot (D\nabla u) + \mu u = q \quad \text{in } \Omega,$$
$$u + 2D\partial_{\mathbf{n}} u = g^{-} \text{ on } \partial\Omega.$$

The measurements are given by

$$D\partial_{\mathbf{n}}u=q$$
 on  $\partial\Omega$ .

■ Assume  $g^- = 0$  (no photons penetrate the object from outside).



#### Mathematical model

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## Inverse problem: formulation & uniqueness

## Inverse problem of BLT (in the diffusive regime)



Define the (linear) forward operator

$$A: L^2(\Omega) \to H^{-\frac{1}{2}}(\partial \Omega),$$
  
 $q \mapsto D\partial_{\mathbf{n}} u,$ 

where u solves the BVP with  $g^-=0$ :

$$-\nabla \cdot (D\nabla u) + \mu u = q \quad \text{in } \Omega,$$
$$u + 2D\partial_{\mathbf{n}} u = 0 \quad \text{on } \partial\Omega.$$

**BLT Problem**: Given  $g \in \mathcal{R}(A)$ , find a source  $q \in L^2(\Omega)$  satisfying

$$Aq = g$$
.

#### Null Space of A



Lemma (Wang, Li & Jiang '04, Kreutzmann '13):

There is an isomorphism  $\Phi \colon H^1(\Omega) \to H^1(\Omega)'$  such that

$$\mathcal{N}(A) = \Phi(H_0^1(\Omega)) \cap L^2(\Omega).$$

If  $D \in W^{1,\infty}$  then

$$\mathcal{N}(A) = \Phi(H_0^1(\Omega) \cap H^2(\Omega)).$$

**Proof:** Define

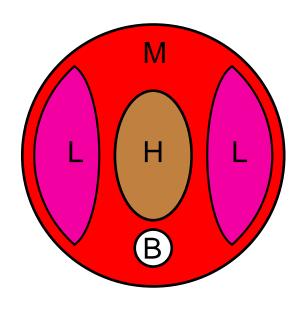
$$\Phi \colon H^1(\Omega) \to H^1(\Omega)', \quad u \mapsto (\Phi u)(v) = a(u, v)$$

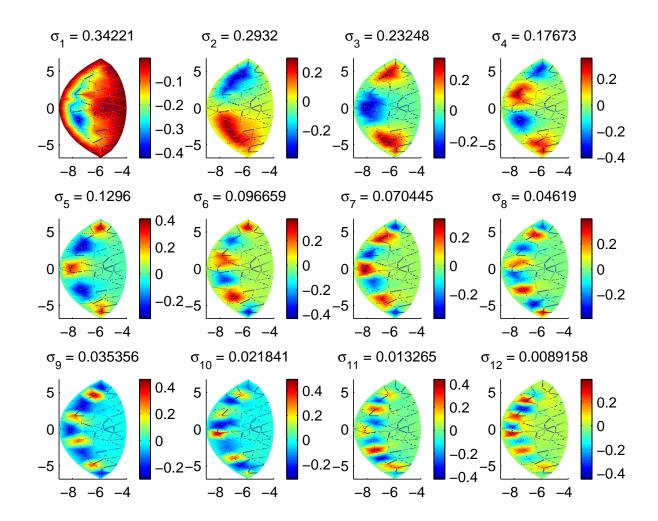
where

$$a(u,v) = \int_{\Omega} \left( D\nabla u \cdot \nabla v + \mu uv \right) dx + \frac{1}{2} \int_{\partial \Omega} uv \, ds.$$

#### Singular Functions of $A \colon L^2(\Omega_0) \to L^2(\partial\Omega)$







## Can we restore uniqueness by a priori information?



Consider, for instance,

$$q = \lambda \chi_S$$
 where  $\lambda \geq 0$  is a constant and  $S \subset \Omega$ .

#### Can we restore uniqueness by a priori information?



Consider, for instance,

$$q = \lambda \chi_S$$
 where  $\lambda \geq 0$  is a constant and  $S \subset \Omega$ .

Lemma (Wang, Li & Jiang '04):

There exist  $z \in \Omega$ ,  $\lambda_1 \neq \lambda_2$  and  $r_1 \neq r_2$  such that

$$A(\lambda_1 \chi_{B_1}) = A(\lambda_2 \chi_{B_2})$$

with  $B_k = B_{r_k}(z)$ .



#### Mathematical model

Inverse problem: formulation & uniqueness

Inverse problem: reformulation & 

➤ stabilization

Reformulation
Tikhonov-like
regularization
Existence of a
minimizer & stability
Regularization
property

Gradient of the minimization functional

Numerical experiments in 2D

Summary

# Inverse problem: reformulation & stabilization

#### Reformulation



Ansatz: 
$$q=\sum_{i=1}^I \lambda_i \chi_{S_i}$$
 where  $S_i\subset\Omega$ ,  $\lambda_i\in[\underline{\lambda}_i,\overline{\lambda}_i]=\Lambda_i$ , and  $I\in\mathbb{N}$ .

For the ease of presentation: I = 1.

Define the nonlinear operator

$$F: \Lambda \times \mathcal{L} \longrightarrow L^2(\partial \Omega),$$
$$(\lambda, S) \longmapsto D\partial_{\mathbf{n}} u|_{\partial \Omega}$$

where  $\mathcal{L}$  is the set of all measurable subsets of  $\Omega$ .

Note:  $F(\lambda, S) = \lambda A \chi_S$ 

**BLT Problem**: Given measurements g, find an intensity  $\lambda \in \Lambda$  and a domain  $S \in \mathcal{L}$  such that

$$F(\lambda, S) = g.$$

#### Tikhonov-like regularization



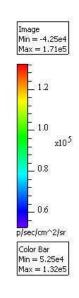
Minimize 
$$J_{\alpha}(\lambda, S) = \frac{1}{2} \|F(\lambda, S) - g\|_{L^{2}}^{2} + \alpha \text{Per}(S)$$
 over  $\Lambda \times \mathcal{L}$ 

where  $\alpha > 0$  is the regularization parameter and Per(S) is the perimeter of S:

$$\operatorname{Per}(S) = |\operatorname{D}(\chi_S)|,$$

with  $|D(\cdot)|$  denoting the BV-semi-norm (Ramlau & Ring '07, '10).





#### Existence of a minimizer & stability



Theorem: For all  $\alpha>0$  and  $g\in L^2(\partial\Omega)$  there exists a minimizer  $(\lambda^*,S^*)\in\Lambda\times\mathcal{L}$ , that is,

$$J_{\alpha}(\lambda^*, S^*) \leq J_{\alpha}(\lambda, S)$$
 for all  $(\lambda, S) \in \Lambda \times \mathcal{L}$ .

**Theorem:** Let  $g_n \to g$  in  $L^2$  as  $n \to \infty$  and let  $(\lambda^n, S^n)$  minimize

$$J_{\alpha}^{n}(\lambda, S) = \frac{1}{2} \|F(\lambda, S) - g_{n}\|_{L^{2}}^{2} + \alpha \operatorname{Per}(S) \text{ over } \Lambda \times \mathcal{L}.$$

Then there exists a subsequence  $\{(\lambda^{n_k}, S^{n_k})\}_k$  converging to a minimizer  $(\lambda^*, S^*) \in \Lambda \times \mathcal{L}$  of  $J_{\alpha}$  in the sense that

$$\|\lambda^{n_k}\chi_{S^{n_k}}-\lambda^*\chi_{S^*}\|_{L^2}\to 0$$
 as  $k\to\infty$ .

Furthermore, every convergent subsequence of  $\{(\lambda^n, S^n)\}_n$  converges to a minimizer of  $J_{\alpha}$ .

#### **Regularization property**



**Theorem:** Let g be in range(F) and let  $\delta \mapsto \alpha(\delta)$  where

$$\alpha(\delta) \to 0$$
 and  $\frac{\delta^2}{\alpha(\delta)} \to 0$  as  $\delta \to 0$ .

In addition, let  $\{\delta_n\}_n$  be a positive null sequence and  $\{g_n\}_n$  such that

$$||g_n - g||_{L^2} \le \delta_n.$$

Then, the sequence  $\{(\lambda^n,S^n)\}$  of minimizers of  $J^n_{\alpha(\delta_n)}$  possesses a subsequence converging to a solution  $(\lambda^+,S^+)$  where

$$S^+ = \arg\min\{\operatorname{Per}(S) : S \in \mathcal{L} \text{ s.t. } \exists \lambda \in \Lambda \text{ with } F(\lambda, S) = g\}.$$

Furthermore, every convergent subsequence of  $\{(\lambda^n, S^n)\}_n$  converges to a pair  $(\lambda^{\dagger}, S^{\dagger})$  with above property.



#### Mathematical model

Inverse problem: formulation & uniqueness

Inverse problem: reformulation & stabilization

Gradient of the minimization  $\triangleright$  functional Domain derivative: general definition Domain derivative of  $F(\lambda,\cdot)\colon \mathbb{S} \to L^2(\partial\Omega)$ 

Domain derivative of  $\operatorname{Per}: \mathbb{S} \to \mathbb{R}$  Derivative of  $J_{\alpha}: \Lambda \times \mathbb{S} \to \mathbb{R}$  Approximate variational principle (Ekeland 1974)

Numerical experiments in 2D

Summary

#### **Gradient of the minimization functional**

#### Domain derivative: general definition



Let  $\Gamma \in \mathbb{S} = \{\widetilde{\Gamma} \subset \Omega : \partial \widetilde{\Gamma} \in C^2\}$  and let  $h \in C_0^2(\Omega, \mathbb{R}^d)$ . Define

$$\Gamma_h = \{x + h(x) : x \in \Gamma\}.$$

If h is small enough, say if  $||h||_{C^2} < 1/2$ , then  $\Gamma_h \in S$ .

By the domain derivative of  $\Phi \colon \mathbb{S} \to Y$  about  $\Gamma$  we understand  $\Phi'(\Gamma) \in \mathcal{L}(C^2,Y)$  satisfying

$$\|\Phi(\Gamma_h) - \Phi(\Gamma) - \Phi'(\Gamma)h\|_Y = o(\|h\|_{C^2})$$

where Y is a normed space.

## **Domain derivative of** $F(\lambda, \cdot) : \mathbb{S} \to L^2(\partial\Omega)$



Reminder:  $F(\lambda, S) = \lambda A \chi_S$ 

Lemma: We have that

$$\partial_S F(\lambda, S) h = u'|_{\partial\Omega}$$

where  $u' \in H^1(\Omega \backslash \partial S)$  solves the transmission byp

$$\begin{split} -\nabla \cdot (D\nabla u') + \mu u' &= 0 \quad \text{in } \Omega \backslash \partial S, \\ 2D\partial_{\mathbf{n}} u' + u' &= 0 \quad \text{on } \partial \Omega, \\ [u']_{\pm} &= 0 \quad \text{on } \partial S, \\ \left[ D\partial_{\mathbf{n}} u' \right]_{\pm} &= -\lambda h \cdot \mathbf{n} \quad \text{on } \partial S. \end{split}$$

**Proof:** similar to Hettlich's habilitation thesis 1999.

#### Domain derivative of $Per: S \to \mathbb{R}$



Lemma (Simon 1980):

We have that

$$\partial_S \operatorname{Per}(S)h = \int_{\partial S} H_{\partial S}(h \cdot \mathbf{n}) \, ds$$

where  $H_{\partial S}$  denotes the mean curvature of  $\partial S$ .

#### **Derivative of** $J_{\alpha} : \Lambda \times \mathbb{S} \to \mathbb{R}$



$$J_{\alpha}(\lambda, S) = \frac{1}{2} ||F(\lambda, S) - g||_{L^{2}}^{2} + \alpha \operatorname{Per}(S)$$

$$\partial_{\lambda}F(\lambda,S)k = kA\chi_S = F(k,S)$$

#### Theorem: We have that

$$J'_{\alpha}(\lambda, S)(k, h) = \left\langle F(\lambda, S) - g, F(k, S) + u' \right\rangle_{L^{2}(\partial\Omega)} + \alpha \int_{\partial S} H_{\partial S}(h \cdot \mathbf{n}) \, ds$$

for  $k \in \mathbb{R}$ ,  $h \in C_0^2(\Omega, \mathbb{R}^3)$ .

#### **Proof:**

$$J'_{\alpha}(\lambda, S)(k, h) = \partial_{\lambda} J_{\alpha}(\lambda, S)k + \partial_{S} J_{\alpha}(\lambda, S)h$$

### **Approximate variational principle (Ekeland 1974)**



There exist smooth almost critical points of  $J_{\alpha}$  near to any of its minimizers.

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There exist smooth almost critical points of  $J_{\alpha}$  near to any of its minimizers.

Theorem: Let  $(\lambda^*, S^*)$  be a minimizer of  $J_{\alpha}$  where  $\lambda^*$  is an inner point of  $\Lambda$ . Then, for any  $\varepsilon > 0$  sufficiently small there is a  $(\lambda^{\varepsilon}, S^{\varepsilon}) \in \Lambda \times \mathbb{S}$  with

$$J_{\alpha}(\lambda^{\varepsilon}, S^{\varepsilon}) - J_{\alpha}(\lambda^{*}, S^{*}) \leq \varepsilon,$$
$$\|\lambda^{\varepsilon} \chi_{S^{\varepsilon}} - \lambda^{*} \chi_{S^{*}}\|_{L^{1}} \leq \varepsilon,$$
$$\|J_{\alpha}'(\lambda^{\varepsilon}, S^{\varepsilon})\|_{\mathbb{R} \times C^{2} \to \mathbb{R}} \leq \varepsilon.$$

#### Approximate variational principle (Ekeland 1974)



There exist smooth almost critical points of  $J_{\alpha}$  near to any of its minimizers.

**Theorem:** Let  $(\lambda^*, S^*)$  be a minimizer of  $J_{\alpha}$  where  $\lambda^*$  is an inner point of  $\Lambda$ . Then, for any  $\varepsilon > 0$  sufficiently small there is a  $(\lambda^{\varepsilon}, S^{\varepsilon}) \in \Lambda \times \mathbb{S}$  with

$$J_{\alpha}(\lambda^{\varepsilon}, S^{\varepsilon}) - J_{\alpha}(\lambda^{*}, S^{*}) \leq \varepsilon,$$
$$\|\lambda^{\varepsilon} \chi_{S^{\varepsilon}} - \lambda^{*} \chi_{S^{*}}\|_{L^{1}} \leq \varepsilon,$$
$$\|J_{\alpha}'(\lambda^{\varepsilon}, S^{\varepsilon})\|_{\mathbb{R} \times C^{2} \to \mathbb{R}} \leq \varepsilon.$$

**Proof:** Key ingredient is

To any bounded measurable  $\Gamma \subset \mathbb{R}^d$  with finite perimeter exists a sequence  $\{\Gamma^n\}_n$  of  $C^\infty$ -domains such that

$$\int_{\mathbb{R}^d} |\chi_{\Gamma^n} - \chi_{\Gamma}| \mathrm{d}x \to 0 \quad \textit{and} \quad \mathrm{Per}(\Gamma^n) \to \mathrm{Per}(\Gamma) \quad \textit{as } n \to \infty.$$



#### Mathematical model

Inverse problem: formulation & uniqueness

Inverse problem: reformulation & stabilization

Gradient of the minimization functional

Numerical experiments in

D 2D

Star-shaped domains

Algorithm: Projected Gradient Method

The model

 $H^3$ -Reconstructions

 $L^2$ -Reconstructions

Summary

## **Numerical experiments in 2D**

#### **Star-shaped domains**



For the numerical experiments we consider a star-shaped domain only:

$$S = \{ x \in \mathbb{R}^2 : x = m + t \,\theta(\vartheta) r(\vartheta), \ 0 \le t \le 1, \ 0 \le \vartheta \le 2\pi \}$$

where m is the center (assumed to be known) and  $r \colon [0, 2\pi] \to [0, \infty[$  parameterizes the boundary of S.

- All previous results hold in this setting as well if we work in a space of smooth parameterizations, say,  $r \in H_p^3(0, 2\pi) \subset C_p^2(0, 2\pi)$ .
- $(\lambda, S) \rightsquigarrow (\lambda, r) \in \Lambda \times \mathcal{R}_{ad}$  where  $\mathcal{R}_{ad} = \{r \in H^3_p(0, 2\pi) : r \geq 0\}.$
- Gradient equation:  $\langle \operatorname{grad} J_{\alpha}(\lambda, r), (k, h) \rangle_{\mathbb{R} \times H^3} = J'_{\alpha}(\lambda, r)(k, h).$
- We have implemented star-shaped domains using trigonometric polynomials.

#### Algorithm: Projected Gradient Method



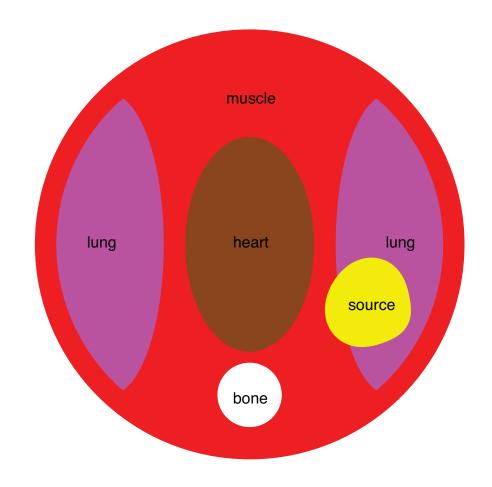
- (S0) Choose  $(\lambda^0, r^0) \in \mathfrak{C} := \Lambda \times \mathfrak{R}_{ad}$ , k := 0
- (S1) Iterate (S2)-(S4) until 🙂
- (S2) Set  $\nabla_k := -\mathrm{grad}J_{\alpha}(\lambda^k, r^k)$ .
- (S3) Choose  $\sigma_k$  by a projected step size rule such that

$$J_{\alpha}\Big(P_{\mathcal{C}}\big((\lambda^k, r^k) + \sigma_k \nabla_k\big)\Big) < J_{\alpha}(\lambda^k, r^k).$$

(S4) Set 
$$(\lambda^{k+1}, r^{k+1}) := P_{\mathfrak{C}}((\lambda^k, r^k) + \sigma_k \nabla_k)$$
.

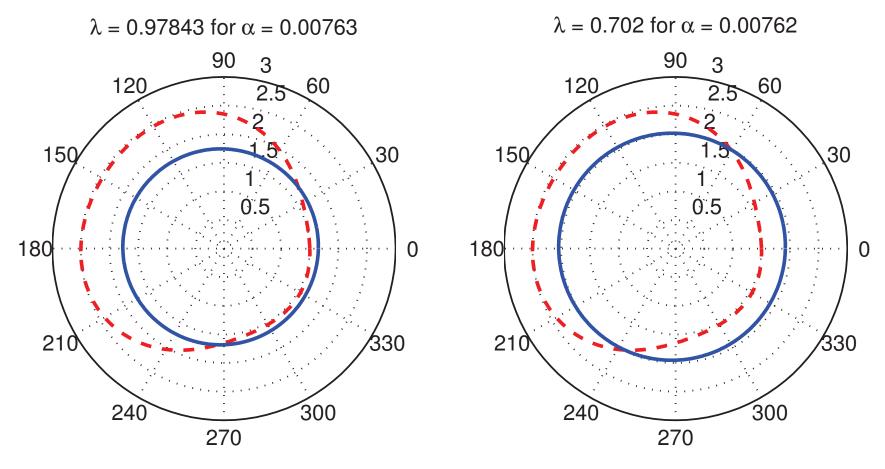
#### The model





#### $H^3$ -Reconstructions

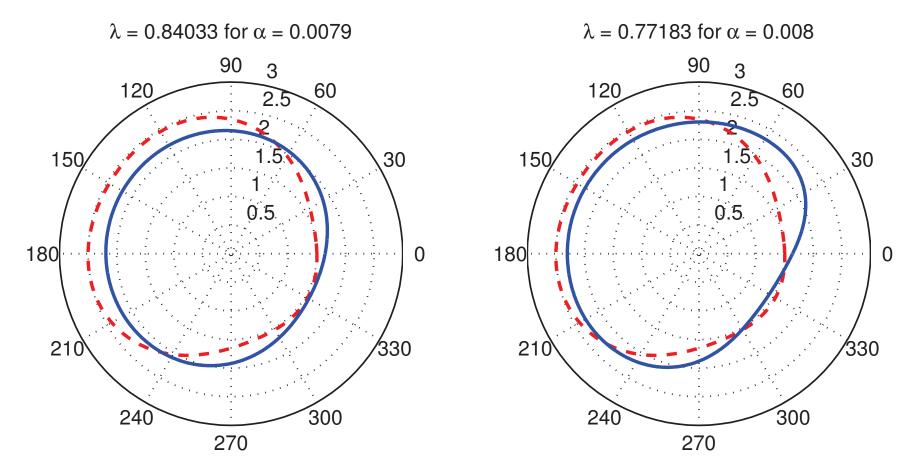




Reconstructions (blue) and source (red). Left: 69 iterations, right: k = 17 iterations

#### $L^2$ -Reconstructions





Reconstructions (blue) and source (red).

Left: 37 iterations, right: noisy data (rel. 3%), 24 iterations

## $L^2$ -Reconstruction with variable center





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What to remember from this talk

## **Summary**

#### What to remember from this talk



- Bioluminescence tomography images cells in vivo. From a mathematical point of view it is an inverse source problem which suffers from nonuniqueness (diffusion approximation) and ill-posedness.
- To overcome these difficulties the sources are modeled as "hot spots" leading to a nonlinear problem which is stabilized by a Tikhonov-like regularization penalizing the perimeter of the hot spots.
- The approximate variational principle justifies the restriction to hot spots with smooth boundaries.
- For star-shaped domains in 2D a projected steepest decent solver has been implemented and tested.